SUBJECT: Children in Research		Policy Number: 10.3.6
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	Supersedes: 10.3.6 (10/6/11 version) 10.3.7, K-12 Students in Research (10/6/11 version)	Page 1 of 7
•	Responsible Authorities:	1

## http://www.fau.edu/research/docs/policies/research-integrity/10.3.11\_waiver\_informed\_consent\_final.pdf

"Passive Consent" has been used in school based research in response to the challenges of securing prior written permission from parents. The process involves notifying parents that research will take place and giving them the opportunity to state they do not want their children to participate. However, passive consent is not equivalent to "informed consent." Sending notice does not mean the notice has been received or understood.

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- 3. Special care must be taken to minimize the perception of coercion when the researcher has the dual role of also being the teacher of the class in which research is conducted (see procedures below).
- 4. Generally researchers may not access classroom performance evaluations, grades, or information in a student's records without prior written permission from a parent/guardian or the student's authorized legal representative, and adherence to the institution's FERPA policies.
- 5. When extra credit is to be given to students who participate in research, students who choose not to participate in the research must be given other options for extra credit that are comparable in terms of time, effort and educational benefit as the research. If extra credit is offered, it is favorable to still give credit if the child minor withdraws, unless the student withdraws immediately or there is evidence of bad faith on the part of the student.
- 6. Whenever possible, researchers should refrain from data collection during regular class meetings. If study participation consumes a significant portion of a class, loss of instructional time for both participants and non-participants may be considered a risk or a loss of benefits. Also, when research participation is expected during the same class session at which recruitment occurs, students may be unduly influenced to take part due to peer pressure, perceived stigma from not participating, or a sense of having otherwise wasted time by attending that day's class.
- 7. Since there are risks of breach of confidentiality in the close environment of the school setting, special attention should be given to disclosure of these risks in the consent process, and developing proceduryth9nioce1(on)5.1(al)4.4(ti)-1.1(m-1(pant) dnt7 t.7(i)-1(s)-1.75 Td

experience to act in, and agrees to act in, the best interests of the child for the duration of the child's participation in the research and who is not associated in any way (except in the role as advocate or member of the IRB) with the research, the investigator(s), or the guardian organization

Florida law defines guardian as follows:

Guardian: a person who has been appointed by the court to act on behalf of a ward's person or property or both.

Permanent guardian: The relative or other adult in a permanent guardianship of a dependent child under FS§39.6221 [Florida Statute §39.01(54)]. "Permanent guardianship

• In the case of greater than minimal risk research, developing procedures to identify whether one, or both parents, can consent to their child's participation in research.

## The Institutional Review Board (IRB) will be responsible for:

- Upholding this policy when reviewing protocols involving children as research participants.
- Determining the appropriate risk category for research protocols involving children in accordance with applicable federal regulations.

## The Research Integrity (RI) office will be responsible for:

• Assuring there is representation of those with appropriate specialties involving children when reviewing human research activities involving children.

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## 21 CFR 50, Subpart D Florida Statutes

POLICY APPROVAL Initiating Authority	
Signature:	Date:
Name: Daniel C. Flynn, Vice President for Research	

Executed signature pages are available in the Initiating Authority Office(s)