DIVISION OF RESEARCH

SUBJECT: Institutional Authorization Agreements (IAA) and Reliance Agreements	Policy Number: 10.3.18	Effective Date: December 8, 2022
	Supersedes: NA	Pages: 5
	Responsible Authorities: Vice President for Research Assistant Vice President, Research Integrity Human Research Protection Program Staff	

I. Background

FAU researchers may be involved in research that involves multiple organizations, also referred to as cooperative projects. Reliance agreements authorize one institution's IRB to review the project and serve as the sole IRB of Record ("sIRB") for a human subject research. Typically for research occurring at multiple sites or that involve personnel from multiple institutions, these agreements document respective authorities, roles, responsibilities, and communication between organizations.

II. Purpose

The purpose of this policy is to set forth requirements of a sIRB for non-exempt human subjects research.

III. General Statement

If the FAU researcher's activities are determined to be non-exempt human subjerm-2 u590 Td[(s)-2 anane

If a cooperative project is funded by a federal agency that complies with the C
sites are required to utilize sIRB. Each institution is responsible for safeguardi
and welfare of human subjects and for complying with this policy. Any institutio
the U.S. that is engaged in cooperative research must rely upon approval by a
portion of the project that is conducted in the U.S. The sIRB may be identified
department or agency supporting or conducting the research or proposed by t

institution commits to HHS that it will comply with the requirements in the HHS Protection of Human Subjects regulations at 45 CFR part 46.

Individual Investigator Agreement

Complete and upload Form 15, “IRB Reliance Request” and Form 15a, “IAA for FAU Lead”. These documents may be submitted at initial review or at any time when a reliance is needed.

POLICY APPROVAL
Initiating Authority

Signature: Daniel C. Flynn Digitally signed by Daniel C. Flynn Date: 2023.01.06 17:44:36 -05'00' Date: 1/6/2023

Name: Daniel C. Flynn, Ph.D., Vice President for Research

Executed signature pages are available in the Initiating Authority Office(s)