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**FLORIDA ATLANTIC UNIVERSITY
COMPLIANCE AND ETHICS WORK PLAN
FY 2020-21**

FAU's Compliance Office provides oversight of compliance-related activities including the oversight and implementation of FAU's Compliance and Ethics Program (CEP). The office is led by FAU's Chief Compliance and Ethics Officer (CCEO). The mission of Florida Atlantic University's CEP is to assist the university in achieving its financial, operational and strategic goals (as set forth in FAU's Strategic Plan for the Race to Excellence, 2015-2025) while promoting compliance with all institutional policies as well as federal and state laws and regulations. FAU's

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A. Executive Oversight

Oversight and direction for the CEP sets the overall tone for accountability, sound risk management, ethical behavior, and compliance with laws and regulations. The compliance office serves as a central point and resource for coordination, collaboration, and oversight

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E. Education and Training		
Compliance and ethics training is a foundational element of an effective compliance program.	Provide training on conflict of interest disclosures	Compliance
	Add athletics compliance training to new employee orientation	Athletics
	Update Student Health Services training to align with AAAHC standards	Student Health
	Enhance resource base for faculty on foreign influence issues	Research Integrity
	Develop a training program focused on wildlife researchers to improve oversight of non-traditional animal models	Research Integrity
	Deploy targeted refresher courses for our security awareness program	Information Technology

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G. Enforcement and Discipline

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