

**FLORIDA ATLANTIC UNIVERSITY  
COMPLIANCE AND ETHICS WORK PLAN  
FY 2019-20**

FAU's Compliance Office provides oversight of compliance-related activities including the oversight and implementation of FAU's Compliance and Ethics Program (CEP). The office is led by FAU's Chief Compliance and Ethics Officer (CCEO). The mission of Florida Atlantic University's CEP is to assist the university in achieving its financial, operational and strategic goals (as set forth in FAU's Strategic Plan for the Race to Excellence, 2015-2025) while promoting

	Compliance office participates on the IT Compliance Committee
	Equity, Inclusion and Compliance (Title VI, VII & IX, ADA) and Athletics Compliance report directly to the CCEO. Provide oversight on new legislative and BOG compliance requirements, including new

<b>E. Education and Training</b>	
Compliance and ethics training is a foundational element of an effective compliance program.	Extend ethics training to all employees
	Provide in-person ethics training to the BOT
	Increase breadth of Title IX training
	Provide training on reporting mechanisms, including whistleblower protection
	Explore one stop training hub for all FAU required and recommended trainings

<b>F. Audit and Monitoring</b>	
Regular, rigorous review of University programs and operations allows issues to be identified early and remedied quickly. Continuous assessment is critical to ensuring an efficient, effective, and compliant work environment.	Continue IT Data Risk Assessment(s) Review COM clinical billing practices and